Aterney Docket No. 450103-04598.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Leslie SPRING et al.

Appl. No.

10/813,868

Filed

For

March 30, 2004

RICH MEDIA PUBLISHING

Art Unit

2176

Examiner

Not Yet Assigned

745 Fifth Avenue

New York, New York 10151

EXPRESS MAIL

Mailing Label Number:

ED 450554255 US

Date of Deposit:

January 14, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Chiaki Kokka

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

Mail Stop Missing Parts Commissioner for Patents Alexandria, VA 22313-1450

PETITION UNDER 37 C. F. R. 1.47

83861206

03 FD:: 4483 Sir:

Applicants hereby petition the Commissioner to accept the accompanying

Declarations submitted under 37 CFR 1.47(a) for application for patent on behalf of the original contents of the original co

co-inventor Jose Armando Pena.

PATENT Appl. No. 10/813,868

Attorney Docket No. 450103-04598.1

Accompanying this Petition and the Declarations is the requisite petition fee

under 37 CFR 1.17(h) in the amount of \$130.00, the late declaration surcharge in the amount of

\$130.00, and the five-month extension of time fee in the amount of \$2,160.00. Please charge

any additional fees required to our Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

Hans R. Mahr

Registration No. 46,138

Tel: (212) 588-0800

PATENT

Docket No. 450103-04598.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Cant : Leslie SPRING et al.

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(Signature or person mailing paper or fee)

Mail Stop Missing Parts Commissioner for Patents Alexandria, VA 22313-1450

DECLARATION OF FACTS IN SUPPORT OF FILING ON BEHALF OF LIMITED INVENTOR

Sir:

The below-named individual declares that:

PATENT Appl. No. 10/813,868 Attorney Docket No. 450103-04598.1

- (1) I am a patent attorney authorized to practice in the United States, and I am employed by Frommer Lawrence & Haug LLP, a patent firm who represent Sony Pictures Entertainment Inc. and Sony Corporation, the assignees of the above-identified application.
- (2) Our firm filed in the United States the patent application, which has been assigned Application No. 10/813,868 and the filing date of March 30, 2004.
- (3) U.S. Application 10/813,868 was filed with a declaration without the inventors' signatures. A Notice to File Missing Parts was issued on June 14, 2004, and I forwarded a copy of the Notice and a revised declaration form to be signed by all the Applicants to Applicants' assignees on June 30, 2004. On July 13, 2004, I sent same revised declaration form to Applicants' employer, Sony Pictures Entertainment Inc. However, on July 22, 2004, the Applicants' employer notified us that Mr. Jose Armando Pena was no longer employed by Sony Pictures Entertainment Inc. and provided us with his last-known address (1119 Calle Linares, Duarte, California 94010). On July 22, 2004, I forwarded a copy of the revised declaration to Mr. Pena. On October 6, 2004 and on November 15, 2004 I resent copies of the same declaration to Mr. Pena. On November 24, 2004, Mr. Pena confirmed in a telephone conversation with Sony Pictures Entertainment, Inc. that he had received the documents. On December 22, 2004, I resent copies of the same declaration to Mr. Pena. On January 3, 2005, I called to Mr. Pena at his current-in-use cell phone number and left a message asking to call me back, and on January 5. 2005, I called him at the same cell phone number again and left another message to remind him of that his signature for the declaration form is needed. To date, I have not received any response from him.

PATENT

Appl. No. 10/813,868

Attorney Docket No. 450103-04598.1

(4) On information and belief, Mr. Pena, who had been employed by Sony

Pictures Entertainment Inc, terminated his employment on October 23, 2003. It is reasonably

believed that he still resides at his last-known address, however, notwithstanding a good faith

effort on my part, I have been unable to received a signed declaration document from Mr. Pena.

I hereby declare that all statements made herein of my own knowledge are true

and that all statements made on information and belief are believed to be true; and further that

these statements were made with the knowledge that willful false statements and the like so

made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the

United States Code and that such willful false statements may jeopardize the validity of the

application or any patent issued thereon.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

Registration No. 46,138

Tel: (212) 588-0800

00246457

JAN 1 4 2005 C

1. OMMER LAWRENCE & HAUG

4660 La Jolla Village Dr. Suite 850 San Diego, California 92122 Tel. (858) 731-5000 Fax. (858) 731-5001

July 22, 2004

VIA MAIL ONLY

Mr. Jose Armando Pena 1119 Calle Linares Duarte, CA 94010

Re:

U.S. Patent Applications No. 10/813,868, No. 10/813,858, and No. 10/813,874

Our Ref.: 450103-04598.1, 450103-04598.2, and 450103-04598.3

Dear Mr. Pena,

We have prepared and enclose a blank Declaration/Power of Attorney document and a blank Assignment for the patent applications referenced above. Please sign and date the documents as indicated and then return the signed original documents to us. We will file the signed documents with the U.S. Patent & Trademark Office.

Please do not hesitate to contact me if you have any questions.

Sincerely yours,

Hans R. Mahr

HRM:ck

Enclosures:

1. Declaration for Patent Application and Power of Attorney (blank)

2. Assignment (blank)

cc:

William S. Frommer (w/o enclosures)

JAN 1 4 2005 C

COMMER LAWRENCE & HAUGP

4660 La Jolla Village Dr. Suite 850 San Diego, California 92122 Tel. (858) 731-5000 Fax: (858) 731-5001

October 6, 2004

VIA MAIL ONLY

Mr. Jose Armando Peña 1119 Calle Linares Duarte, CA 94010

Re: U.S. Patent Applications No. 10/813,868, No. 10/813,858, and No. 10/813,874 Our Ref.: 450103-04598.1, 450103-04598.2, and 450103-04598.3

Dear Mr. Peña,

In connection with the patent applications referenced above, this is sent as a reminder that the due date for filing signed Declaration/Power of Attorney documents and signed Assignment documents is <u>October 14, 2004</u>. Please sign and date the blank documents attached herewith as indicated and then return the signed original documents to us. We will file the signed documents with the U.S. Patent & Trademark Office.

Thank you for your cooperation in this matter. Please do not hesitate to contact me if you have any questions.

Sincerely yours,

Hans R. Mahr

HRM:ck

Enclosures:

1. Declaration for Patent Application and Power of Attorney (blank)

2. Assignment (blank)

cc: Ms. Carrie Merzbacher, SEL (w/o enclosures)

Ms. Susie Oh, SPE (w/o enclosures)

William S. Frommer (w/o enclosures)

JAN 14 2005 CO

I DMMER LAWRENCE & HAUG

4660 LA JOLLA VILLAGE DR. SUITE 850 SAN DIEGO, CALIFORNIA 92122 Tel. (858) 731-5000 Fax. (858) 731-5001

November 15, 2004

VIA MAIL ONLY

Mr. Jose Armando Peña 1119 Calle Linares Duarte, CA 94010

Re:

U.S. Patent Applications No. 10/813,868, No. 10/813,858, and No. 10/813,874

Our Ref.: 450103-04598.1, 450103-04598.2, and 450103-04598.3

Response due: December 14, 2004

Dear Mr. Peña,

In connection with the patent applications referenced above, this is sent as a 2nd reminder that the due date for filing signed Declaration/Power of Attorney documents and signed Assignment documents is <u>December 14, 2004 (extended from August 14, 2004)</u>. Please sign and date the blank documents attached herewith as indicated and then return the signed original documents to us. We will file the signed documents with the U.S. Patent & Trademark Office.

Thank you for your cooperation in this matter. Please do not hesitate to contact me if you have any questions.

Sincerely yours,

Hans R. Mahr

HRM:ck

Enclosures:

1. Declaration for Patent Application and Power of Attorney (blank)

2. Assignment (blank)

an hal

Ms. Carrie Merzbacher, SEL (w/o enclosures)

Ms. Susie Oh, SPE (w/o enclosures)

William S. Frommer (w/o enclosures)

NEW YORK OFFICE 745 FIFTH AVENUE NEW YORK, NY 10151. TEL: (212) 588-0800 FAX: (212) 588-0500

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FROMMER LAWRENCE & HAUG LLP

4660 La Jolla Village Dr. Suite 850 San Diego, California 92122 Tel: (858) 731-5000 Fax: (858) 731-5001

December 22, 2004

VIA CERTIFIED MAIL (USPS Certified Mail No. 7002 3150 0002 1769 4537)

Mr. Jose Armando Peña 1119 Calle Linares Duarte, CA 94010

Re:

U.S. Patent Applications No. 10/813,868, No. 10/813,858, and No. 10/813,874

Our Ref.: 450103-04598.1, 450103-04598.2, and 450103-04598.3

Response due: January 14, 2005 (non-extendable)

Dear Mr. Peña,

In connection with the patent applications referenced above, this is sent as a 2nd reminder that the due date for filing signed Declaration/Power of Attorney documents and signed Assignment documents is <u>January 14, 2005 (no further extension is available)</u>. Please sign and date the blank documents attached herewith as indicated and then return the signed original documents to us. We will file the signed documents with the U.S. Patent & Trademark Office.

Thank you for your cooperation in this matter. Please do not hesitate to contact me if you have any questions.

Sincerely yours,

Hans R. Mahr

HRM:ck

Enclosures:

1. Declaration for Patent Application and Power of Attorney (blank)

2. Assignment (blank)

cc:

Ms. Carrie Merzbacher, SEL (w/o enclosures)

Ms. Susie Oh, SPE (w/o enclosures)
William S. Frommer (w/o enclosures)

NEW YORK OFFICE 745 FIFTH AVENUE NEW YORK, NY 10151 Tel: (212) 588-0800 Fax: (212) 588-0500

U.S. Postal Service 0002 1769 4537 0002 1769 4537 CERTIFIED MAIL. RECEIPT (Domestic Mail Only; No Insurance Coverage Provided) Postage 5000 Certified Fee Return Reciept Fee (Endorsement Required) 31.50 31.50 Restricted Delivery Fee (Endorsement Required) 2002 7007 7002 Peña Jose Armando 1119 Calle Linares 94010

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